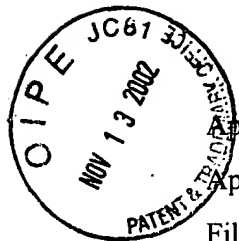


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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Jonathan J. Langberg et al.
App. No. : 09/909,101
Filed : July 19, 2001
For : TRANSLUMINAL MITRAL
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Examiner : Urmi Chattopadhyay

Group Art Unit: 3738

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Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/6/02

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